

# A note: Some results in step domination of trees

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## Abstract

We show that the step domination number of any tree  $T$  satisfies  $\gamma_S(T) \leq (\frac{5}{6} + O(\frac{1}{D}))n$ , where  $n$  is the number of vertices of  $T$ , and  $D$  is its diameter. It is proved also that if some requirements are set on a tree  $T$  then,  $\gamma_S(T) \leq O(D)$ .

## 1 Introduction: Definitions and Notation

In this paper we shall refer to graphs as connected graphs. We follow the notation and terminology of [3] and [5]. However, in order to simplify the reading of the paper we shall introduce some of the necessary definitions and notation we are using throughout the paper.

The *distance* between two vertices  $u, v$  in a graph  $G$ , denoted  $d(u, v)$ , is the length of a shortest simple path  $u - v$  in  $G$ . When  $d(u, v) = 1$  we say that  $u$  and  $v$  are *adjacent*. The *eccentricity* of a vertex  $u$ , denoted  $ecc(u)$ , is the distance of the furthest vertex from  $u$ , i.e.,

$$ecc(u) = \max\{d(u, x) | x \in V(G)\}.$$

The *diameter* of  $G$ ,  $diam(G)$ , is the maximum eccentricity.

The set of vertices at distance  $k$  from a vertex  $v$  in  $G$  is called the *k-neighborhood* of  $v$  and is denoted by  $N_k(v)$ . That is,

$$N_k(v) = \{u \in V(G) | d(v, u) = k\}.$$

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In case  $k = 1$  we shall refer to it as the neighborhood of  $v$  or *open neighborhood*. In this case we shall denote it, as usual,  $N(v)$ , while  $N[v] = N(v) \cup \{v\}$ .

A vertex  $v$  in  $G$  is said to *dominate* itself and each of its neighbors. A set  $S \subseteq V(G)$  is a *domination set* if every vertex of  $G$  is dominated by some vertex of  $S$ .

The notion of step domination and results along this line are given in [2] and [4].

A set  $S = \{v_1, v_2, \dots, v_t\}$  of vertices in a graph  $G$  is defined as a *step domination set* for  $G$  if there exist nonnegative integers  $k_1, k_2, \dots, k_t$  such that the set  $\{N_{k_i}(v_i)\}$  forms a partition of  $V(G)$ . This partition is called *the step domination partition associated with  $S$* . The sequence  $k_1, k_2, \dots, k_t$ , ( $k_1 \leq k_2 \leq \dots \leq k_t$ ) is called a *distance domination sequence associated with  $S$* , while  $k_i$  is called the *step* of  $v_i$  and denoted  $st(v_i) = k_i$ . Each vertex  $u$  in  $N_{k_i}(v_i)$  is said to be *step dominated by  $v_i$* , and  $v_i$  *step dominates  $u$* . We assume that in the above definitions  $N_{k_i}(v_i)$  is nonempty. Thus,  $0 \leq k_i \leq ecc(v_i)$  for each integer  $k_i$  in a distance domination sequence associated with  $S$ . Since a vertex in a step domination set  $S$  cannot step dominate both itself and other vertices, the cardinality of a step domination set for  $G$  is at least 2 unless  $G = K_1$ . On the other hand,  $|S| \leq |V(G)|$ .

Let  $G$  be a graph with  $V(G) = \{v_1, v_2, \dots, v_n\}$ . Then the set  $\{N_0(v_i)\}_{i=1}^n$  is obviously a step domination partition of  $V(G)$  corresponding to the step domination set  $S = V(G)$ . Thus, every graph has some step domination set. This leads us to the *step domination number*  $\gamma_S(G)$  of a graph  $G$  (defined in [2]) to be the minimum cardinality of a step domination set for  $G$ . As a consequence of the above,  $\gamma_S(G)$  is well defined and satisfies,

$$2 \leq \gamma_S(G) \leq |V(G)|, \tag{1}$$

with  $\gamma_S(K_1) = 1$ .

Finally, by  $c(v)$  we shall denote the label a vertex  $v$  is given.

Recently a full characterization of the step-domination number of graphs of diameter at most two was obtained in [1].

In [2] it was shown that if  $T$  is a tree then

$$\gamma_S(T) \leq n - \sqrt{\frac{n}{2}}, \tag{2}$$

where  $n$  denotes the number of vertices of  $T$ .

The main goal of this paper is to improve the result (2) by showing that

$$\gamma_S(T) \leq \left(\frac{5}{6} + O\left(\frac{1}{D}\right)\right)n, \tag{3}$$

where  $D$  denotes the diameter of  $T$ .

In addition we show that if some requirements are imposed on a tree  $T$  with diameter  $D$ , then,  $\gamma_S(T) \leq O(D)$ , which leads us towards the following conjecture:

**Conjecture 1.1** *Let  $T$  be any tree. Then,*

$$\gamma_S(T) = \Omega(D) .$$

## 2 Results

Let  $T$  be a tree on  $n \geq 2$  vertices. We denote the diameter of  $T$  by  $D$  and the center of  $T$  by  $C(T)$ .

The cases  $D \leq 2$  are trivial and were discussed already in [2] and [4]. We start with the following simple proposition:

**Proposition 2.1** *Let  $T$  be a tree with  $2 < D \leq 4$ . Then  $\gamma_S(T) \leq D - 1$  .*

**Proof:** In case  $D = 3$  ,  $T$  is a double star with  $C(T) = \{v, w\}$ , and the labeling  $c(v) = c(w) = 1$  yields a step domination set  $S$  of  $T$  and therefore  $\gamma_S(T) \leq 2 = D - 1$  .

In case  $D = 4$ , let  $P := (v, u_1, u_2)$  be a path realizing  $D/2$ . The labeling  $c(v) = c(u_1) = 1$  and  $c(u_2) = 4$  yields a step domination set  $S$  of  $T$  and therefore  $\gamma_S(T) \leq 3 = D - 1$  . ■

In the sequel we shall therefore assume that  $D \geq 5$ .

The next theorem is a generalization of a result appended in [2] for paths (theorem 2).

**Theorem 2.2** *Let  $T$  be a tree with diameter  $D \geq 5$ . Denote  $\alpha = \frac{D+1}{n} \leq 1$  . Then,*

$$\gamma_S(T) \leq \begin{cases} (1 - \frac{\alpha}{2})n + \frac{1}{2} & \text{if } D \equiv 0, 2(\text{mod } 4) \\ (1 - \frac{\alpha}{2})n + 1 & \text{if } D \equiv 1(\text{mod } 4) \\ (1 - \frac{\alpha}{2})n & \text{if } D \equiv 3(\text{mod } 4) \end{cases}$$

**Proof:** Let  $P := (u_1, u_2, \dots, u_{D+1})$  be a path in  $T$  which admits its diameter. Label  $c(u_i) = 1$  ,  $i \equiv 2, 3(\text{mod } 4)$  . In case  $D \equiv 0, 1(\text{mod } 4)$  the labeling of the last vertices of  $P$  is altered: if  $D \equiv 0(\text{mod } 4)$  label  $c(u_{D+1}) = 0$  ; if  $D \equiv 1(\text{mod } 4)$  label  $c(u_D) = c(u_{D+1}) = 0$  . Let  $A$  be the union of the neighborhoods of the labeled vertices in  $P$ . The vertices of  $V$  not

dominated by the above labeling, namely,  $V \setminus A$ , are labeled 0. This labeling guarantees that each vertex of  $T$  is dominated exactly once.

For the sake of brevity, we shall give the details of the calculation of  $\gamma_S(T)$  in the case  $D \equiv 0 \pmod{4}$  only. Since  $|A| \geq D + 1$  it follows that  $|V \setminus A| \leq n - D - 1$ . Let  $S$  be the set of dominating vertices obtained by the above construction. Then the number of dominating vertices on  $P$ , satisfies

$$|P \cap S| = \frac{D}{2} + 1.$$

Since  $|S| = |P \cap S| + |V \setminus A|$ , we get in the case  $D \equiv 0 \pmod{4}$

$$|S| \leq \left(1 - \frac{\alpha}{2}\right)n + \frac{1}{2}.$$

Other cases of  $D \pmod{4}$  are likewise analyzed. ■

Now we are ready for the proof of our main result.

**Theorem 2.3** *Let  $T$  be a tree, with diameter  $D$ . then,*

$$\gamma_S(T) \leq \left(\frac{5}{6} + O\left(\frac{1}{D}\right)\right)n.$$

In the following theorem we prove theorem 2.3 for even  $D$ . The case of odd  $D$  is proved similarly.

**Theorem 2.4** *Let  $T$  be a tree, with even diameter  $D$ . then,*

$$\gamma_S(T) \leq \begin{cases} \frac{5}{6}n & \text{if } D \equiv 0, 6 \pmod{12} \\ \left(\frac{5}{6} - \frac{2}{3D}\right)n & \text{if } D \equiv 2 \pmod{12} \\ \left(\frac{5}{6} - \frac{1}{3D}\right)n & \text{if } D \equiv 4, 10 \pmod{12} \\ \left(\frac{5}{6} + \frac{1}{3D}\right)n & \text{if } D \equiv 8 \pmod{12} \end{cases}$$

**Proof:** Let  $\{B_i\}, 1 \leq i \leq \deg(v)$ , be the set of branches stemming from  $v$ . By  $B_1$  we denote the branch with the minimum number of vertices which admits the distance  $D/2$ , that is, there exists a leaf  $u_d \in B_1$  such that  $d(v, u_d) = D/2 = d$ .

The union of the remaining branches is denoted by  $B = \bigcup_{i=2}^{\deg(v)} B_i$ . We denote  $v = C(T)$ . Let  $P(v \rightarrow u_d) := (v, u_1, u_2, \dots, u_d)$  be the path between  $v$  and  $u_d$ . Put  $m = \lceil D/3 \rceil$  and

denote by  $w_1, w_2, \dots, w_m$  the vertices in  $P(v \rightarrow u_d)$  such that  $w_m = u_d, w_{m-1} = u_{d-1}$  and so on. Observe that by the definition of  $B_1$  it follows that  $|B| \geq n/2$ . Put

$$a = \begin{cases} m/2 & \text{if } m \equiv 0, 2(\text{mod } 4) \\ \lceil m/2 \rceil & \text{if } m \equiv 1(\text{mod } 4) \\ \lfloor m/2 \rfloor & \text{if } m \equiv 3(\text{mod } 4) . \end{cases}$$

Denote by  $L_j, j = 1, 2, \dots, a$  the  $a$  most dense layers of  $B$ , where the layers  $L_j$  are ordered in an increasing order of distance from  $v$ , namely,  $L_1$  is the closest layer to  $v$ . By  $d(w_j, L_i)$  we denote the distance of a vertex  $w_j$  from some vertex in the layer  $L_i$ .

Now we proceed with the following labeling algorithm:  $c(w_m) = d(w_m, L_1)$ . Then  $c(w_{m-1}) = c(w_{m-2}) = 1$  and  $c(w_{m-3}) = d(w_{m-3}, L_2)$ . It is easy to verify that  $w_{m-1}, w_{m-2}$  dominate each other as well as  $w_m$  and  $w_{m-3}$ , respectively. Next, we set  $c(w_{m-4}) = d(w_{m-4}, L_3)$ ,  $c(w_{m-5}) = c(w_{m-6}) = 1$  and  $c(w_{m-7}) = d(w_{m-7}, L_4)$ .

We continue this procedure until  $w_1$  is labeled. In cases  $m \equiv 1, 2(\text{mod } 4)$  further vertices in  $P$  must be labeled: when  $m \equiv 2(\text{mod } 4)$ , set  $c(u_{d-m}) = 1$ ; when  $m \equiv 1(\text{mod } 4)$  set  $c(u_{d-m}) = c(u_{d-m-1}) = 1$ . This labeling guarantees that all vertices labeled thus far are dominated. The remaining vertices of  $T$  which are not dominated, are given the label 0. (See Fig. 1 for an example of the labeling procedure in a particular case with  $D = 12$ ).

By construction, all vertices in layers  $L_j, j = 1, 2, \dots, a$  are dominated. There may be vertices in  $B_1$  which are dominated as well.

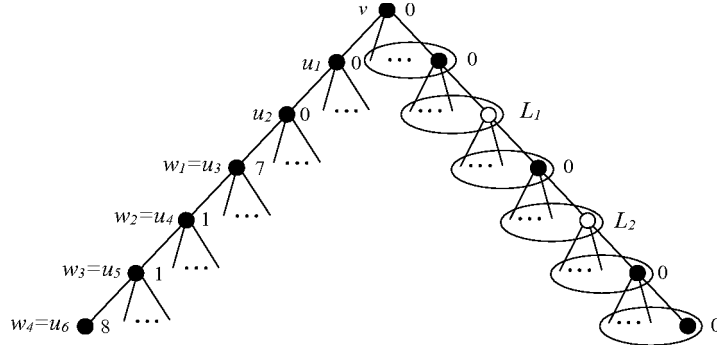


Figure 1: The step domination set obtained by the labeling algorithm in theorem 2.4, for a tree with  $D = 12$ . The levels denoted by  $L_1$  and  $L_2$  are assumed to be the two most dense layers of  $B$ .

We now show that the above algorithm produces indeed a step domination set, namely that no vertex is dominated more than once. We first prove that no vertex on the path  $(w_1, w_2, \dots, w_m)$  is dominated more than once, which, with the labeling algorithm, is equivalent to the requirement that

$$c(w_j) \geq d(w_j, u_d) \quad (4)$$

for all  $w_j$  with  $c(w_j) > 1$ . First, notice that there is a lower bound on the label  $c(w_j)$  for all  $w_j$  with  $c(w_j) > 1$ . We shall denote these lower bounds by  $l_j, j = 1, 2, \dots, a$ . For example,  $c(w_m) \geq l_1 = d$ ,  $c(w_{m-3}) \geq l_2 = d - 2$  etc. Since the layers  $L_j$  of  $B$  are ordered in increasing distance from  $v$ , and since we label the vertices  $w_j$  in decreasing order of their distance from  $v$ , the sequence  $l_1, l_2, \dots, l_a$  is monotone decreasing. It is therefore sufficient to show that equation (4) is fulfilled for the vertex with  $c(w_j) > 1$  nearest to  $v$ , which we shall denote  $w_k$ . To prove that  $c(w_k) \geq d(w_k, u_d)$ , every case of  $D \pmod{12}$  must be analyzed separately. For the sake of brevity, we shall give the details for the case  $D \equiv 8 \pmod{12}$  only. For  $D \equiv 8 \pmod{12}$ ,  $m = \lceil D/3 \rceil = (D + 1)/3$ .  $w_k = w_3$  (since  $w_2 = w_1 = 1$ ), and  $d(w_k, u_d) = m - 3 = (D - 8)/3$ . Now,  $a = (m - 1)/2 = (D - 2)/6$  giving  $d(w_k, L_a) \geq d + 1 - (m - 3) + a = (D + 7)/3$ , so that condition (4) is satisfied.

Other cases of  $D \pmod{12}$  are analyzed similarly.

Let  $S$  be the step-domination set obtained by the above labeling algorithm. Before estimating the order of  $S$ , observe that the average order of each layer in  $B$  is at least  $\frac{n/2}{D/2} = n/D$ . Now, by our procedure we obtain that at least  $\frac{n}{D} \times a$  vertices are dominated (in the layers  $L_j, j = 1, 2, \dots, a$ ). Since vertices in these layers need not be labeled, one has  $|S| \leq (1 - \frac{a}{D})n$ . In the case  $D \equiv 8 \pmod{12}$ , which was analyzed above, one gets  $|S| \leq (1 - \frac{(D-2)/6}{D})n = (\frac{5}{6} + \frac{1}{3D})n$ . Thus we have  $\gamma_S(T) \leq (\frac{5}{6} + \frac{1}{3D})n$ . ■

### Remark 2.5

1. Since the smallest  $D$  to which the result  $\gamma_S(T) \leq (\frac{5}{6} + \frac{1}{3D})n$  applies as a tight bound is  $D = 8$  we have an absolute limit  $\gamma_S(T) \leq \frac{7}{8}n$ .
2. Observe that if vertices in  $B_1$  with  $c(w_j) = 1$  have degree at least 3, then the size of  $S$  may be reduced by properly labeling their neighbors not on  $P$ . A detailed analysis of this case is beyond the scope of this paper.

In the next theorems we classify some families of trees whose step domination set size is  $\Omega(D)$ . We shall assume that  $D$ , the diameter of  $T$  is even. Thus, we denote  $d = D/2$  and  $\{v\} = C(T)$ . When  $D$  is odd similar results may be obtained.

**Theorem 2.6** *Let  $T$  be a tree of even diameter  $D = 2d$  and assume there are two branches at  $v$ , say,  $B_1, B_2$  such that,*

1.  $d(v, u_d) = d(v, w_d) = d$ , where  $u_d \in B_1$ ,  $w_d \in B_2$  are leaves.
2.  $\text{diam}(B_i) \leq d + 1$  for  $i = 1, 2$ .
3. There exists a constant  $\alpha \geq 1$  such that  $|B_i| \leq \alpha d$  for  $i = 1, 2$ .

Then,

$$\gamma_S(T) \leq d(\alpha + 1) + l, \quad (5)$$

where,  $l = \pm 1$  according to the parity of  $d$ .

**Proof:** Let  $P_1 := (v, u_1, u_2, \dots, u_d)$  be the path in  $B_1$  which admits  $d$  and  $P_2 := (v, w_1, w_2, \dots, w_d)$  be the path in  $B_2$  which admits  $d$ .

When written  $B_i$  and  $S$  in the proof we mean the branches  $B_1, B_2$  and a step-domination set, respectively.

The proof is carried out according to the parity of  $d$ .

**Case a:**  $d = 2k$

In this case we label:  $c(u_j) = c(w_i) = d + 1$  for  $j \equiv 0 \pmod{2}$  and  $i \equiv 1 \pmod{2}$ , and  $c(v) = 0$ . Then, all vertices in  $T \setminus (B_1 \cup B_2)$  are dominated and the labeled vertices  $u_{2k-2t}, w_{2t+1}$ ,  $t = 0, 1, \dots, k-1$ , dominate each other. Furthermore, since  $\text{diam}(B_i) \leq d + 1$  one can easily see that all vertices in  $B_i$  are dominated at most once. The vertices in  $B_i$  which are not dominated yet are labeled 0. (See Fig. 2 for an example when  $\alpha = 1$ ). Notice that in case the cardinality of the set of vertices labeled 0 in  $B_i$ , say,  $|A| > \alpha d$ , we switch the labeling procedure above by replacing  $i$  with  $j$ . Thus, we shall obtain  $|A| \leq \alpha d$ .

Hence,

$$\gamma_S(T) \leq d + \alpha d + 1 = d(\alpha + 1) + 1,$$

as required.

**Case b:**  $d = 2k + 1$

In this case we begin with  $c(v) = c(u_1) = 1$ . The algorithm proceeds now in a fashion similar to the previous case, namely  $c(u_j) = c(w_i) = d + 2$  for  $j \equiv 1(\text{mod } 2), j > 1$  and  $i \equiv 0(\text{mod } 2)$ . Again the vertices of  $T \setminus (B_1 \cup B_2)$  are dominated and  $u_{2k+1-2t}, w_{2t+2}$ ,  $t = 0, 1, \dots, k - 1$ , dominate each other. The non-dominated vertices in  $B_i$  are labeled 0 (with the notice of the previous case). Hence,

$$\gamma_S(T) \leq d + \alpha d + 1 - 2 = d(\alpha + 1) - 1,$$

where the subtraction of 2 is due to the fact that  $v$  and  $u_1$  are labeled 1 and thus dominate  $w_1$  and  $u_2$  respectively, so they need not be labeled 0. Thus, the proof is completed. ■

**Corollary 2.7** *Let  $T$  be a  $r$ - spider tree ( $r \geq 2$ ), which is  $r$  paths having one mutual end-vertex. Then,*

$$\gamma_S(T) \leq D + 1 .$$

**Proof:** If  $T$  is a  $r$ -spider tree then condition (3) of theorem 2.6 is satisfied with  $\alpha = 1$ . Thus, the result follows from (4). ■

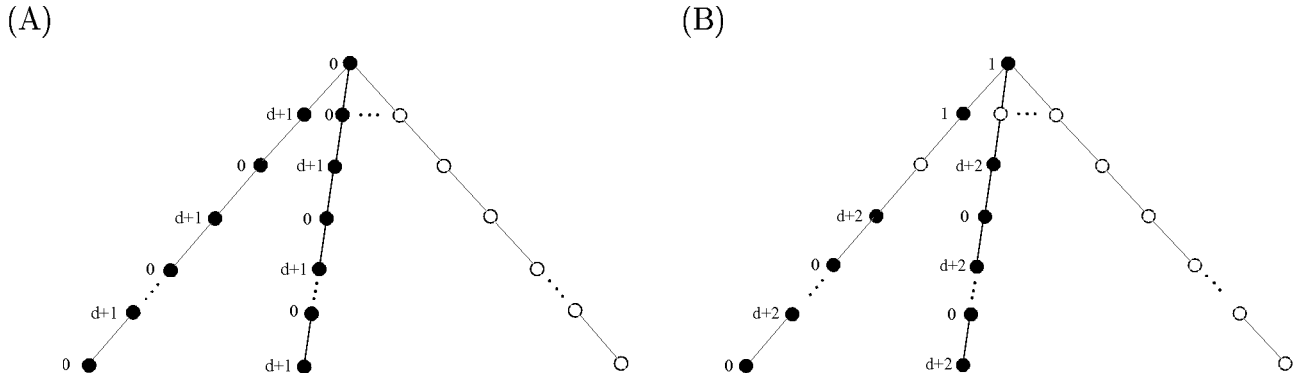


Figure 2: An example for the labeling procedure for the  $r$ - spider tree. (A):  $d$  even ; (B):  $d$  odd.

We end our paper following result:



**Theorem 2.8** *Let  $T$  be a tree with even diameter  $D = 2d$ . If there exists a path  $P := (v, u_1, u_2, \dots, u_d)$  in  $T$  such that  $\deg(u_j) \geq 3$ ,  $j = 1, 2, \dots, d - 1$ , then,*

$$\gamma_S(T) \leq D - 1. \quad (6)$$

**Proof:** since the case  $d = 2$  was proved in proposition 2.1, we may assume that  $d \geq 3$ . For each vertex  $u_i$ ,  $i = 1, 2, \dots, d - 1$  we choose some neighbor  $w_i$  not on the path  $P$ .

We prove first the case  $d = 3$ . The proposed labeling is as follows:  $c(u_1) = c(u_2) = 1$ ,  $c(u_3) = 4$ ,  $c(w_1) = c(w_2) = 5$ . One can verify that this labeling yields a proper step domination set  $S$  of  $T$  with  $\gamma_S(T) \leq 5 = D - 1$  as required.

In the case  $d \geq 4$  the labeling is done as follows:  $c(u_j) = d + 1 - j$ ,  $j = 1, 2, \dots, d - 3$ ,  $c(u_{d-2}) = c(u_{d-1}) = 1$ ,  $c(u_d) = 4$  and  $c(w_j) = d + 3 - j$ ,  $j = 1, 2, \dots, d - 2$ ,  $c(w_{d-1}) = 5$ . (See Fig. 3 for an example of this labeling in a case when  $\deg(u_i) = 3$  for each vertex  $u_i$ ,  $i = 1, 2, \dots, d - 1$  ).

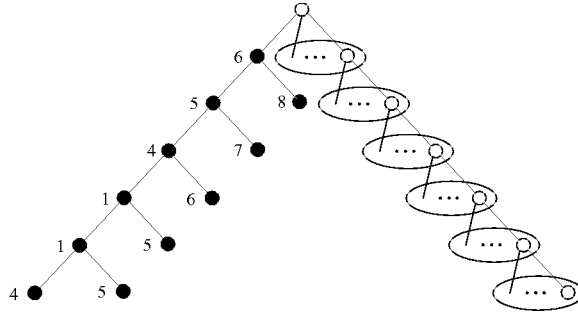


Figure 3: An example for the labeling procedure of theorem 2.8 . Here  $d = 6$  ( $D = 12$ ) and each vertex on the path  $u_1, u_2, \dots, u_{d-1}$  has  $\deg(u_i) = 3$ .

One can check that the proposed labeling procedure satisfies all step domination constraints. since  $S = \{u_i, w_j\}$ ,  $i = 1, 2, \dots, d$ ,  $j = 1, 2, \dots, d - 1$ , we have  $|S| = 2d - 1 = D - 1$ , as required. ■

**Corollary 2.9** *If  $T$  is a complete binary tree on  $n = 2^{d+1} - 1$  vertices (and thus  $D = 2d$ ), then,*

$$\gamma_S(T) \leq 2\log_2(n + 1) - 3 = D - 1.$$

## ACKNOWLEDGMENT

The third author wants to thank Yair Caro for the valuable correspondence via e-mail concerning some important issues of the paper.

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